RECOMMENDATIONS

FOR ENSURING THE SECURITY OF NAVIGATION ON THE DANUBE

DANUBE COMMISSION

2014
The purpose of the present Recommendations for Ensuring the Security of Navigation on the Danube (Dok. DK/TAG 83/15), adopted by the Decision DK/TAG 83/16 of 10 December 2014, is to establish general principles for taking preventive measures against the consequences of security incidents affecting vessels and port facilities and of unlawful acts against the crews of vessels navigating on the Danube.

The Recommendations were prepared in conformity with the Work Plan of the Danube Commission for the period from 7 June 2013 to its eighty-second session (Dok. DK/TAG 80/46), based on the decision adopted on 6 June 2012, at its seventy-eighth session (Dok. DK/TAG 78/19).

The Recommendations were drawn up on the basis of views and proposals from the competent authorities of the Member States of the Danube Commission. In preparing the Recommendations, the following documents were also taken into account:

− Annex IV to the European Agreement on Main Inland Waterways of International Importance (AGN), "Protection of the network of inland waterways of international importance from intentional external acts" (draft);

− Plan of Action for the implementation of the decisions taken by the Pan-European Conference on Inland Water Transport (Bucharest, 13–14 September 2006) (point 4);

− Aide-memoire for the meeting of the ad hoc working group on protection of inland navigation against terrorist acts (Bonn, 27 September 2007);

− CCNR document, "Inland navigation security measures" (document G/TER (07) 9), 12 November 2007;

− Documents from the meetings of the Danube Commission’s Working group on technical issues in 2006–2014, on agenda item II.2 "Security of inland waterway transport".
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1. **Scope of application of the Recommendations**

1.1 The present Recommendations apply to the navigable sections of the Danube and waters of the Danube ports without prejudice to the special provisions laid down by the competent authorities for those sections and ports in accordance with national law and as required by local conditions.

1.2 The Recommendations apply to all competent authorities concerned with matters of navigation on the Danube, administrations of the Danube ports, boatmasters and other persons directly or indirectly involved in navigation on the Danube.

1.3 The Recommendations are intended to be implemented by all vessels, including vessels using the Danube temporarily.

These Recommendations shall be deemed to have been applied for seagoing vessels and ports on the Lower Danube if the relevant provisions of the 1974 International Convention for the Safety of Life at Sea (SOLAS), are observed, notably the provisions of the International Code for the Security of Ships and Port Facilities (ISPS Code).

1.4 The Recommendations contain measures designed to identify:

- potential security threats;
- tasks to be performed by the administrations of shipping companies and ports in respect of the security of vessels and port facilities¹;
- vessel security plan;
- behavioural patterns of crews in the event of unlawful acts against them.

2. **Terms and definitions**

2.1 *Unlawful act* – any unlawful interference in the operation of a vessel and port, committed by violent actions, (violence, deprivation of liberty and looting) that poses a threat to human health, cargo safety, security of the vessel and port facility.

2.2 *Security of a vessel or of a port facility* – set of special measures ensuring the protection of crew members and the port facility from any unlawful acts that pose a threat to human health, cargo safety, security of the vessel and port facility.

2.3 *Vessel security plan* – plan to ensure the application of measures designed to protect persons and cargo on board from the risks of a security incident.

¹ Port facility – indivisible unit of the territory of the port, where vessel/port loading operations take place, and which is connected by a single technological process and run by a single operator.
2.4 *Port facility security plan* – a plan to ensure the application of measures designed to protect the port facilities and vessels in ports, persons on board, cargo and vessel’s stores within the port from the risks of a security incident.

2.5 *Vessel security officer* – a boatmaster or a person accountable to the boatmaster (e.g., on a passenger vessel) designated by the shipping company as responsible for the security of the vessel, including implementation of the vessel security plan and liaison with both the company security officer and port facility security officer.

2.6 *Company security officer* – a person designated by the company to assess security risks to vessels, to develop vessel security plans, and to liaise with both port facility security officers and vessel security officers.

2.7 *Port facility security officer* – person designated as responsible for the development and implementation of the port facility security plan and for the liaison with both vessel security officers and company security officers.

2.8 *Security level* – the level for which minimum appropriate security measures for vessels and persons and cargo on board vessels shall be maintained at all times.

3. **Functional tasks on board vessels, in shipping companies and in ports**

In order to achieve their objectives, these Recommendations embody the following tasks:

3.1 assessment of potential threats and determination of the expediency of the security of vessels and port facilities, based on an assessment of the risk of security threats or incidents; cooperation between the Member States of the Danube Commission with regard to the security of navigation;

3.2 designation of security officers on board vessels, in shipping companies and in ports and establishment of a scheme of interaction;

3.3 development of the vessel and port facility security plans, special training for crews;

3.4 development of a behavioural pattern of the vessel’s crew in the event of a security incident.

4. **Assessment of potential threats and cooperation between Member States in order to ensure the security of navigation**

4.1 The expediency of introducing the security of vessels and port facilities shall be determined on the basis of information about the threat of unlawful actions or existence of a security incident (cases previously reported show that such an incident may take place at anchorages away from the ports, and in ports where there is no security system) and of assessment of possible risks (impacts).
4.2 Information on areas not safe for navigation, on security incidents or threats should be brought to the attention of the competent authorities of the Member States of the Danube Commission, while stating:

- reliability of the information on the incident or threat;
- specific circumstances;
- actual impacts of the incident or the potential impact of the security threat.

4.3 The competent authorities of the member states of the Danube Commission responsible for the security of navigation (see annex), i.e., responsible for the security on the sections under their jurisdiction and for combating unlawful actions (police authorities), are required to take all necessary measures to preclude any security threat to vessels and port facilities and, in the event of an incident, to ensure safety conditions for the crew for resolving it.

5. Tasks of the administration of shipping company and ports

5.1 The tasks of the administration of shipping company are as follows:

a) designation of an officer responsible for the security of vessels and for the establishment of a special system of the shipping company for communicating with the vessels;

b) designation of a senior crew member to ensure the security of vessel in accordance with the vessel security plan, education and training of the crew and personnel;

c) development of the vessel security plans;

d) establishment of the security level for the specific circumstances and informing crews of the unsafe areas.

5.2 The tasks of port administrations are as follows:

a) determination of port facilities requiring the development of a security plan;

b) designation of a security officer responsible for the development of the port facility security plan and for the establishment of a special system for communicating with the vessel security officers as well as company security officers;

c) development of the port facility security plan.

6. Vessel security plan and responsibilities of the vessel security officer

6.1 It is recommended to carry on board each vessel engaged in international voyages a security plan approved by the administration of shipping company, in the working language used on board.
6.2. The vessel security plan must be protected from unauthorized access and include at least the following:

a) measures designed to prevent weapons, dangerous substances and devices intended for use against persons, vessels or ports from being taken on board a vessel, and the carriage of which is not authorized;
b) identification of the restricted areas on board a vessel (bridge, machinery space, areas containing control panels, ventilation systems and drinking water tanks, as well as cargo areas containing dangerous goods) and of the measures to prevent unauthorized access to them;
c) measures to prevent unauthorized access to the vessel;
d) procedures for responding to the security threats or breaches of security (behavioural patterns), including provisions for maintaining critical operations of the vessel;
e) scheme of locations where activation points of the vessel security alert system are provided;
f) procedures for evacuation in case of the security threats or breaches of security;
g) duties of crew members and personnel responsible for the security on a passenger vessel;
h) scheme for reporting and communicating security incidents;
i) information on the vessel security officer;
j) information on the company security officer, including 24-hour contact details;
k) information on the competent authorities of the Member States of the Danube Commission responsible for the security of navigation, i.e., for the security on the sections of the Danube, including combating unlawful actions related to a security incident (see annex).

6.3. On board each vessel engaged in international voyages, the vessel security officer shall carry out regular training of the crew and personnel and amend the security plan in light of the information about threats.

7. **Recommended behavioural pattern of a vessel’s crew in the event of a security incident or unlawful actions against the crew**

If the vessel is the target for unlawful acts arising from a security incident, the following behavioural pattern of the crew is recommended:

- do not take unnecessary risks, keep calm and, to the extent possible, continue with regular duties;
– try to assuage the perpetrators’ hostility to the crew and adopt a conciliatory demeanour;
– try to find a safe way to inform the shipping company administration of the security incident;
– persuade the perpetrators that the crew will not create problems for them and let them know that action needs to be taken to ensure the immediate safety of the vessel;
– avoid direct contacts with the perpetrators and do not provoke them into acts of violence;
– ask permission before doing anything, even moving;
– if the actions of the perpetrators might cause vessel accident, try to agree on a safe way out of the situation;
– memorize as many details about the perpetrators as possible – what they do, how many of them there are, what weapons they have and with whom they are in contact;
– once the vessel is released from the perpetrators’ control, immediately contact the company security officer and, if the officer agrees, the competent authority for the section where the security incident took place;
– inspect the vessel and note any damage done to the crew, vessel and cargo;
– take further actions, including continuing the voyage, in accordance with the instructions received.
GENERAL INFORMATION ON THE COMPETENT AUTHORITIES OF THE MEMBER STATES OF THE DANUBE COMMISSION RESPONSIBLE FOR THE SECURITY OF NAVIGATION ON THE RESPECTIVE SECTIONS OF THE DANUBE
(as of 9 March 2016)

<table>
<thead>
<tr>
<th>Country</th>
<th>Competent authority</th>
<th>(name, address, telephone No., e-mail address, website)</th>
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<tr>
<td>Germany</td>
<td>Polizeipräsidium Niederbayern Einsatzzentrale Wittelsbacher Höhe 9-11 94315 Straubing tel.: 0049 9421/868-0 section from km 2201,750, left bank of the Danube, (state border) and from km 2223,210, right and left banks of the Danube, to km 2345,480 of the Danube.</td>
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